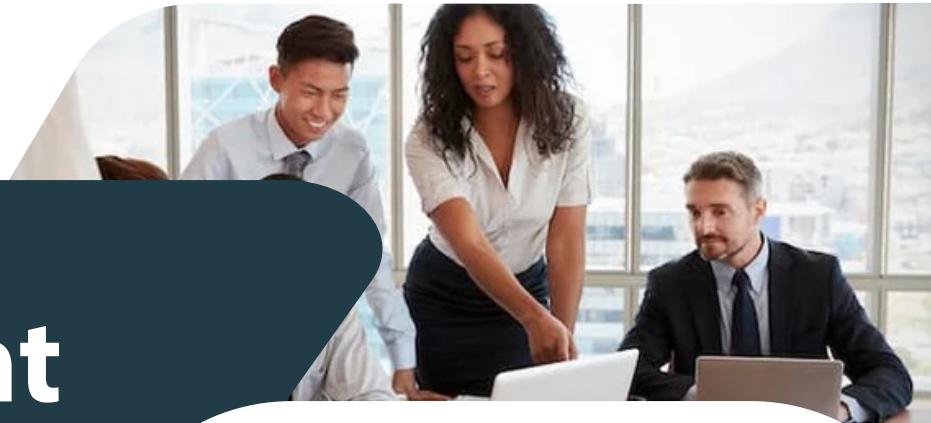


**VIRTUAL**

# Third-Party Management Essentials



**WHEN**

April 29, 2024  
10:00 a.m. - 12:00 p.m.

**WHERE**

Comfort of your own desk

Financial institutions have had specific vendor management guidance to follow for decades. Each primary financial institution regulatory body has issued specific requirements for working with third parties. To avoid costly fines, reputation risk, and consumer harm, vendor management must be embedded in all lines of business. Siloed approaches to vendor management are outdated. This program provides a thorough review of the basic requirements and guidance for sustaining a compliant and risk-based program.

## TOPICS

- Third-party vendor and Compliance Risk
- Essential elements every vendor management program should have.
- The most common vendor management missteps.
- Ways to reduce risk across the vendor management lifecycle.

## ABOUT THE INSTRUCTOR



**Kimberly Boatwright, CAMS, CRCM** is EVP and Director of Risk and Compliance at COMPLIANCE RESOURCE, LLC, and has over two decades of experience in the financial services industry. Ms. Boatwright is a well-regarded financial industry risk and compliance professional with a strong background in program development and implementation. She is a thought leader who specializes in Fair Lending, Anti-Money Laundering, OFAC, and consumer compliance. During her career, she has worked for and consulted with all types of financial institutions, helping to establish and evolve compliance and risk programs. She is a frequent public speaker, trainer, and author on compliance and risk management topics. Kimberly is a Certified Regulatory Compliance Manager and a Certified Anti-Money Laundering Specialist.

### REGISTRATION FEES

**ABA Members:**

Early Registration Price: \$150; After April 1: \$175

**Non-Members:**

Early Registration Price: \$300; After April 1: \$350

**NOTE**

Registration fees are per person, not connection. Please register each person in attendance separately.

### CANCELLATION

Full registration fees will be refunded if a cancellation is received before April 15. No refunds will be given for cancellations made after April 15. All cancellations must be submitted in written format prior to the event.

### VIRTUAL LIVE FORMAT

Attendees will need Internet access and a standard web browser to join this video and web conferencing. They will receive an email with a link to join the virtual meeting, handouts, and any additional information a few days before the event.

You do not need your own Zoom account. You will use the link, meeting ID and password we provide.

- You can log in on a desktop computer, laptop or download the Zoom app on your smart device.
- Internet access
- Audio on computer or a phone line

## THIRD-PARTY MANAGEMENT ESSENTIALS

APRIL 29, 2024

Bank/Company Name \_\_\_\_\_ Phone \_\_\_\_\_

Registrant Name \_\_\_\_\_ Title \_\_\_\_\_ E-mail \_\_\_\_\_

Registrant Name \_\_\_\_\_ Title \_\_\_\_\_ E-mail \_\_\_\_\_

Address \_\_\_\_\_ City \_\_\_\_\_ State \_\_\_\_\_ Zip \_\_\_\_\_

Registration Contact \_\_\_\_\_ Phone \_\_\_\_\_ E-mail \_\_\_\_\_

### PAYMENT INFORMATION

Charge my:

- Mastercard   Visa 
- American Express   Discover 

Account Number \_\_\_\_\_

Name on Card \_\_\_\_\_

Expiration Date \_\_\_\_\_ CSC Number \_\_\_\_\_

(3-digit security code on back of your card)

Billing Zip Code \_\_\_\_\_

If you would prefer to pay via credit card over the phone, please call the ABA at (501) 376-3741. Please do not email your credit card information.

Note: Non-Members must pay with a credit card or check prior to the event.

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